					- C - C - C - C - C - C - C - C - C - C
	TRANSI (General - I	TAL LETTER Patent Pending)			cket No. 300-1885
In Re Application	Of: Enderlein et al.	007 01 2004 24			
Application No. 10/501,595	Filing Date July 12, 2004	Examiner TRAWINKnown	Customer No. 29177	Group Art Unit	Confirmation No unknown
		S IDENTIFICATION OF THE ORRESPONDING MODULE	PROFILES OF	SUBSCRIBERS	IN A
		COMMISSIONER FOR PAT	ENTS:		
		etition under 37 C.F.R. 1.10(d) ( eurn.	(3 pages) plus (E	xhibits 1-5); and	a postcard
☐ A check in	al fee is required. the amount of	is attached.			
as describe □ Cha ⊠ Cre	· ·		Account No.		02-1818
lab	Signature		Dated: Sept	ember 28, 2004.3	ອນ
Patrick B. Law Reg. No. 41,549 P.O. Box 1135 Chicago, Illinois 60 Phone: (312) 781-6 Fax: (312) 345-996	1690-1135 801		onSept. 28, 2004 first class mail u Commissioner f 22313-1450.	nis document and fe with the under 37 C.E.R1.8 or Patents, P.O. Box	
00:			11	Renee Stree	τ

CC:

Typed or Printed Name of Person Mailing Correspondence

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Enderlein et al.

Appl. No.:

10/501,595 July 12, 2004

iled:

METHOD FOR ANONYMOUS IDENTIFICATION OF THE PROFILES OF

SUBSCRIBERS IN A COMMUNICATION SYSTEM AND

CORRESPONDING MODULE

Docket No.:

112740-979

RECEIVED

Mail Stop Petitions Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

9 NOV 2004

Legal Staff International Division

## PETITION UNDER 37 C.F.R. 1.10(d)

Sir:

The above-referenced application was filed with the United State Postal Service (hereinafter "USPS") using "Express Mail Post Office to Adressee" service of the USPS. However, the USPS incorrectly noted the "date-in" on the Express Mail" mailing label as July 14, 2004, instead of the correct date of July 12, 2004. Thus, the United States Patent and Trademark Office (hereinafter "USPTO") assigned an incorrect filing date of July 14, 2004. Accordingly, the Applicants petition the USPTO herein under 37 C.F.R. 1.10(d) to have the filing date corrected based on the following evidence.

Attached hereto as Exhibit 1 is a true and correct copy of the "Express Mail" mailing label showing a "date-in" of July 14, 2004, the label being entered into the Applicant's representative's file on July 13, 2004 with tracking number EV 3512000551, which was clearly marked on the "Certificate of Mailing by Express Mail Under 37 CFR 1.10" and "Transmittal letter" accompanying the present application and place there prior to mailing by "Express Mail", true and correct copies being attached as Exhibit 2. Attached hereto as Exhibit 3 is a print out of the shipping details of the above tracking number from the United States Postal Service (USPS) website evidencing receipt of the above-referenced application with the USPS in Chicago on July 12, 2004, at 7:33 p.m. and further evidencing, the USPTO's physical receipt of the abovereferenced application on July 14, 2004 at 9:24 a.m. in Alexandria, Virginia. Also attached

hereto as Exhibit 4 is a true and correct copy of the Daily Express Mail Processing Log for July 12, 2004 of the Applicant's representative's evidencing the same tracking number was used in Express mailing of the applications (identified by the Applicant's representative's docket number) in the submission of the above-referenced application on July 12, 2004 to the USPTO using USPS Express Mail. Finally, attached hereto as Exhibit 5 is a true and correct copy of a postcard receipt from the USPTO showing the incorrect filing date of July 14, 2004 accorded to the present application.

In light of the foregoing, the Applicants submit this petition respectfully requesting the Director to: 1) correct the filing date for the above-referenced application to properly reflect July 12, 2004; and thereafter, 2) allow the above-referenced application to proceed with examination.

#### STATEMENT OF FACTS

The Applicants recently became aware that the above-referenced application was incorrectly assigned a filing date of July 14, 2004, and thereby will be considered abandoned by the USPTO. Upon investigating this issue further, Applicants have located the shipping details associated with the submission of the above-referenced application and have provided a copy attached hereto as Exhibit 3. Furthermore, Applicants have provided as Exhibit 4 attached hereto the Daily Express Mail Processing Log from July 12, 2004 evidencing the assignment of tracking number EV 351200551 by the USPS to the original submission of the above-referenced application. Exhibits 3 and 4 clearly demonstrate receipt by the USPS of the original submission of the above-referenced application on July 12, 2004 at 7:33 p.m. in Chicago, Illinois.

In addition, attached hereto as Exhibit 2 is a true and correct copy of the "Certificate of Mailing by Express Mail Under 37 CFR 1.10" and "Transmittal Letter to the United States Designated/Elected Office Concerning a Filing Under 35 U.S.C. 371 accompanying the original submission, evidencing both the Express Mail Label No. of EV 351200551, and a submission date of July 12, 2004.

In consideration of Exhibits 2-4, and 37 C.F.R. 1.10(d), Applicants hereby respectfully petition the Director to correct the filing date of for the above-referenced application to July 12, 2004, and thereafter, allow the above-referenced application to proceed with examination.

Applicants respectfully submit that by this petition, Applicants have provided a brief statement of facts, and points to be reviewed sufficient to allow the Director to grant the actions requested. In addition, Applicants respectfully request the Director to contact the undersigned Applicant's attorney directly so that proper action can be taken, if for any reason, the Director anticipates that this petition and its exhibits are not sufficient to grant the actions requested.

## CONCLUSION

For the foregoing reasons, Applicants respectfully request the Director to correct the filing date for the above-referenced application to properly reflect the date of July 12, 2004, and thereafter, allow the above-referenced application to proceed to examination.

Applicants hereby declare all statements made herein are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the Application or any patent issued thereon.

Also included with this petition is a return receipt postcard. Additionally, to the extent any fees are due in connection with this petition, please charge Deposit Account No. 02-1818 for any fees that may be due.

Respectfully submitted,

BELL, BOXD/& LLOYD LL

Reg. No. 41,549

P.O. Box 1135

Chicago, Illinois 60690-1135

Phone: (312) 781-6801 Fax: (312) 345-9960

Dated: September 28, 2004

ORIGIN (FOSTAL USE UNIT   Page   Day of Delivery   Flat Rate Envelope   Delivery   Day of Delivery   Postage   Delivery   Delivery	IVERY (POSTAL USE ONLY)   Pry Attempt
Washing Holiday A	city and funding the distriction of contract of the contract o
FROM: (PLEASE PRINT) PHONE TO  BELL BOYD & LLOYD  PO BOX 11.35	Agency Acct. No. or Service Ac

RECEIVED
BELL, BOYD & LLOYD
INTELLECTUAL PROPERTY DOCKET

JUL 1 3 2004

ATTY: PZL DOCKET #: N2746-979

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Enderlein et al.

Appl. No.: Unknown

Int'l Application No.: PCT/DE03/00067

Conf. No.: Unknown Filed: July 12, 2004

Int'l Filing Date: July 1, 2003

Title: METHOD FOR ANONYMOUS IDENTIFICATION OF THE PROFILES OF

SUBSCRIBERS IN A COMMUNICATION SYSTEM AND A

**CORRESPONDING MODULE** 

Art Unit: Unknown Examiner: Unknown Docket No.: 112740-979

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## **CERTIFICATE OF MAILING BY EXPRESS MAIL UNDER 37 CFR 1.10**

Sir:

I hereby certify that the following documents relating to the above-identified application:

- 1. Transmittal Letter US Designated/Elected Office (duplicate);
- 2. International Application (19 pages);
- 3. International Search Report (3 pages);
- 4. English translation of International Application (14 pages);
- 5. Preliminary Amendment (31 pages);
- 6. Declaration and Power of Attorney (12 pages);
- 7. Assignment (4 pages);
- 8. Recordation Cover Sheet (1 page);
- 9. Check in the Amount of \$960.00; and
- 10. Return Receipt Postcard.

EV351200551US

Appl. No. Unknown
Int'l Application No.:
Int'l App. No. PCT/EP02/04339

are being deposited with the United States Postal Service with sufficient postage as Express Mail in an envelope addressed to:

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

on July 12, 2004.

Respectfully submitted, BELL, BOYD & LLOYD LLC

Patrick B. Law

Name of Person Mailing Correspondence

Signature

EV 351200551 US

Express Mail Mailing Label Number

		,	1	
FORM (REV.		o) ` ´	MMERCE PATENT AND TRADEMARK OFFICE	AT LANEY'S DOCKET NUMBER
)		RANSMITTAL LETTER	THE UNITED STATES	0112740
		DESIGNATED/ELECT	ED OFFICE (DO/EO/US)	U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR
			NG UNDER 35 U.S.C. 371	Unknown
INTE	RNAT	TIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
		PCT/DE03/00067	July 1, 2003	
1		INVENTION for Anonymous Identification	n of the Profiles of Subscribers in a Co	ommunication System and
		onding Module	VI the A I vines of Carrier	Jilmidiication Ojotem and
		IT(S) FOR DO/EO/US		
		n et al.		
Appli	icant l	herewith submits to the United Sta	ates Designated/Elected Office (DO/EO/US)	s) the following items and other information:
1.	$\boxtimes$	This is a FIRST submission of i	items concerning a filing under 35 U.S.C. 37	. <del>7</del> 1.
2.			QUENT submission of items concerning a fi	
3.			gin national examination procedures (35 U.S	S.C. 371(f)). The submission must include items (5),
4.			expiration of 19 months from the priority da	ate (Article 31).
,5.	Ø		olication as filed (35 U.S.C. 371 (c) (2))	
1			uired only if not communicated by the Intern	rnational Bureau).
		_ ` •	ed by the International Bureau.	·
			application was filed in the United States Re	eceiving Office (RO/US).
6.	×	· ·	of the International Application as filed (35	- '
1		a. 🛛 is attached hereto.		
1		b.   has been previously sub	bmitted under 35 U.S.C. 154(d)(4).	7
7.	$\boxtimes$	Amendments to the claims of the	e International Application under PCT Artic	cle 19 (35 U.S.C. 371 (c)(3))
		· -	quired only if not communicated by the Inter	ernational Bureau).
ĥ.			ted by the International Bureau.	, <sub> </sub>   1
			owever, the time limit for making such amen	ndments has NOT expired.
		d. A have not been made and		
8.			of the amendments to the claims under PCT	Γ Article 19 (35 U.S.C. 371(c)(3)).
9.	Ø	An oath or declaration of the inve	****	
10.		An English language translation of Article 36 (35 U.S.C. 371 (c)(5))	of the annexes to the International Preliminal).	nary Examination Report under PCT
11.		A copy of the International Prelin	iminary Examination Report (PCT/IPEA/409	9).
12.	$\boxtimes$	A copy of the International Searc	ch Report (PCT/ISA/210).	1
It	ems 1	13 to 20 below concern document	t(s) or information included:	
13.			ement under 37 CFR 1.97 and 1.98.	
14.	$\boxtimes$	An assignment document for rece	cording. A separate cover sheet in compliance	ice with 37 CFR 3.28 and 3.31 is included.
15.	$\boxtimes$	A FIRST preliminary amendmen	nt.	
16.		A SECOND or SUBSEQUENT	preliminary amendment.	
17.	Ø	A substitute specification.		
18.		A change of power of attorney an		
19.			e sequence listing in accordance with PCT R	
20.			international application under 35 U.S.C. 15	
21.			nguage translation of the international applic	cation under 35 U.S.C. 154(d)(4).
22.	$\boxtimes$		/ 351200551 US	
23.		Other items or information:		
,				

U.S. A	PPLICA	TION	NO. (IF KNOWN, SE	E3/CFR	INTERNATIONAL	APPLICAT	ION NO.			ATTORNEY'S	S DOO	CKET NUMBER
			Unknown		PCT/E	E03/000	67			0112	2740	-979
24.			lowing fees are sub						CAL	CULATION	IS F	TO USE ONLY
BASI	Neithe	r inter	search fee (37 CFF	y examination	fee (37 CFR 1.482)		\$10	80.00				
Ø	USPTO	O but 1	International Search	h Report prepa	CFR 1.482) not paid ared by the EPO or JF	PO		20.00				
	Interna	itional ernatio	preliminary examinonal search fee (37	nation fee (37 CFR 1.445(a)	CFR 1.482) not paid (2)) paid to USPTO.	to USPTC	) <b>\$7</b>	70.00				
	Interna	tional	preliminary examin	nation fee (37	CFR 1.482) paid to UT Article 33(1)-(4)	USPTO		30.00				
	Interna and all	tional claim	s satisfied provision	ns of PCT Arti	CFR 1.482) paid to Usicle 33(1)-(4)	• • • •		00.00		-	1	
21		120.0			ATE BASIC FI					\$920.00	ļ	
			0 for furnishing the liest claimed priorit			☐ 2(	0 🗆 3	30		\$0.00		····
	AIMS		NUMBER	FILED	NUMBER EX	TRA	RAT					
Total o			12	- 20 =	0		x \$18.0 x \$86.0			\$0.00 \$0.00	<b> </b>	
	ndent cl		Claims (check if a)	- 3 =	V		x \$86.0	00		\$0.00		
lviuiup	le Depe	Huent			ABOVE CALO	CULAT		=		\$920.00	$\vdash$	
	pplican educed b		ns small entity statu		R 1.27. The fees indic					\$0.00		
						SUBT	<b>FOTAL</b>	=		\$920.00		
Proces month:	sing fee s from tl	of \$1. he ear	30.00 for furnishing liest claimed priorit	the English ty date (37 CF	translation later than FR 1.492 (f)).	□ 20	0 🗆 3	50 +		\$0.00		
					TOTAL NAT	IONAI	FEE	=		\$920.00		
Fee for	recordi panied b	ng the	e enclosed assignme appropriate cover sh	nt (37 CFR 1. leet (37 CFR 3	.21(h)). The assignm 3.28, 3.31) (check if	ent must b	e e).	Ø		\$40.00		
					TOTAL FEES	ENCL	OSED	=		\$960.00		
									re	nt to be: funded	\$	
						<del></del>			cl	harged	\$	
a.	×		eck in the amount o			above fees	is enclose	d.				
b.		Pleas	se charge my Depos	it Account No	).	in the amo	ount of			to cover th	he ab	ove fees.
c.	X		Director is hereby as eposit Account No.		harge any additional i	fees which	may be rec	quired,	or cred	it any overpay	ymen	t
d.					WARNING: Inform on this form. Provide							38.
NOTE 1.137(;	: Wher a) or (b)	e an a	ippropriate time li it be filed and gran	mit under 37 ted to restore	CFR 1.494 or 1.495 the application to p	has not be	een met, a atus.	petition	n to re	vive (37 CFR	Ł	
			SPONDENCE TO:		••		1	1/1	-/1	[]		
	k B. La					1		MI	VY	<u></u>		
Reg. I	No. 41,5	49					SIGNAT	URE				
	Boyd & Box 113		ILLC				Patrick	B. Lav	W			
Chica	go, Illin	ois 60	0690 -1135				NAME					
Telep	hone: (	312) 7	781-6801				41,549					
							REGISTE	RATIO	NUM	1BER		
							July 12,	2004				
							DATE					





Track & Confirm
Enter label number:

Track & Confirm FAQs ( Go>



# Track & Confirm

## **Shipment Details**

You entered EV35 1200 551U S

Your item was delivered at 9:24 am on July 14, 2004 in ALEXANDRIA, VA 22313 to PATENT OFFICE. The item was signed for by M BOSTON.

Here is what happened earlier:

- ARRIVAL AT UNIT, July 14, 2004, 8:25 am, DULLES, VA 20102
- ENROUTE, July 12, 2004, 7:42 pm, CHICAGO, IL 60607
- ACCEPTANCE, July 12, 2004, 7:33 pm, CHICAGO, IL 60607

#### **Notification Options**

▶ Track & Confirm by email

What is this?



▶ Request Proof of Delivery

What is this?





POSTAL INSPECTORS
Preserving the Trust

site map contact us government services Copyright © 1999-2002 USPS. All Rights Reserved. Terms of Use Privacy Policy



DAILY EXPRESS MAIL PROCESSING LOG DATE IN: July & 2004

EXPRESS MAIL	TODAY'S	BBL	P DOCKET	PBMS	PBMS	CMS	CMS	PBMS	DATE-ON	PBMS
TRACKING NUMBER	DATE	DOCKET NUMBER	CLERK	PICK UP	CLERK	PICK UP	CLERR	RETURN	RECEIPT	CLERK
251 302 699 J Tlavor	1/10/104	1(5949-003	læ .	3:25	CH).		\ \ \			
352 35370US 7/12/04 115429-1117	1/12/84	115429-147	1280	2:30	#	×	<i>-</i>			
831-86211 WELL 268-88	Moder	119439-158	BA	3:30	#5					
18/1584-7505 7/12/04 11230-0151	4/6/1	11230-0151	1000				<b>X</b>			
511 584 56705 7/13 10A 118300-2336	7/13/04	1820-05611	J. J			Ø				
ev 35120055? us	ho-ci-L	18740-979 1ato								

3:44 PM3/3/2004C:\Documents and Settings\copycenter\Local Settings\Temporary Internet Files\OLK2C\EXPRESS MAIL DATE IN LOG\_v1.XLS

In re Patent Application of Enderleis et al. Method for Anonymous Identification of the Profiles of Subscribers in a Communication System and a Corresponding Module Docket No. 0112740-979; Filed July 12, 2004 On the date stamped hereon the U.S. Patent & Trademark Office hereby acknowledges the receipt of the following: Transmittal Letter US Designated/Elected Office (duplicate) 2. International Application (19 pages); 3. International Search Rieport(3 pages); 4. English translation of International Application (14 pages); 5. Preliminary Amendment (30 pages);

Declaration and Power of Attorney (12 pages); 7. Assignment (4 pages);

Recordation Cover Sheet (1 page); 10/501595 Check in the Amount of \$960.00; and

10. Return Receipt Postcard.

Mailed on July 12, 2004 via Express Mail (PZL)

BELL, BOYD & LLOYD INTELLECTUAL PROPERTY DOCKET

JUL 2 7 2004